

## Exhibit 1 – Institutional Information

This exhibit describes the Institutional Information for the benefit of both parties.

### 1. Protection Level Classification<sup>5</sup>:

Protection Levels Needed	Anticipated Record Count
<input type="checkbox"/> Protection Level 1	
<input type="checkbox"/> Protection Level 2	
<input type="checkbox"/> Protection Level 3	<input type="checkbox"/> Less than 70,000 <input type="checkbox"/> More than 70,000
<input type="checkbox"/> Protection Level 4	<input type="checkbox"/> Less than 70,000 <input type="checkbox"/> More than 70,000

<b>Explanation:</b>	[Optional, add detail if needed, may be covered in SOW]
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The Protection Level and anticipated record count determines the applicable cyber security insurance requirement in the Terms and Conditions.

### 2. Institutional Information data element descriptors:

Select all data types that apply:

- A. ☐ Animal Research Data.
- B. ☐ Controlled Technical Information (CTI).
- C. ☐ Controlled Unclassified Information (CUI) – 800-171/NARA.
- D. ☐ Defense Department: Covered Defense Information (CDI).
- E. ☐ Federal Acquisition Regulations (FARS/DFAR) other than CUI.
- F. ☐ European Privacy Law (EEA and UK GDPR) personal data.
- G. ☐ European Privacy Law (EEA and UK GDPR) special data.
- H. ☐ Health data – other identifiable medical data not covered by HIPAA. (Including but not limited to: occupational health, special accommodation, or services qualification, etc.)
- I. ☐ Health Records subject to HIPAA Privacy or Security Rule (PHI).
- J. ☐ Human Subject Research Data.
  - 1. ☐ Identified.
  - 2. ☐ Anonymized.
- K. ☐ Intellectual property (IP), such as patents, copyright, or trade secrets.
- L. ☐ ITAR/EAR-controlled data.

<sup>5</sup> For more information about classification see: <https://security.ucop.edu/policies/institutional-information-and-it-resource-classification.html>.

- M. ☐ Payment card data (PCI, PCI DSS).
- N. ☐ Personally identifiable information – PII.
- O. ☐ Student data, whether or not subject to FERPA.
- P. ☐ Other: \_\_\_\_\_
- Q. ☐ Other: \_\_\_\_\_

### 3. Regulation or Contracts Relating to the Institutional Information:

Select all regulations or external obligations that apply to inform UC and the Supplier of obligations related to this Appendix:

#### Privacy (\* indicates data security requirements are also present)

- A. ☐ California Confidentiality of Medical Information Act (CMIA) \*.
- B. ☐ California Consumer Privacy Act (CCPA).
- C. ☐ California Information Practices Act (IPA).
- D. ☐ European Privacy Laws Regulation (EEA and UK GDPR)\*.
- E. ☐ Family Educational Rights and Privacy Act (FERPA) \*.
- F. ☐ Federal Policy for the Protection of Human Subjects (“Common Rule”).
- G. ☐ Genetic Information Nondiscrimination Act (GINA).
- H. ☐ Gramm-Leach-Bliley Act (GLBA) (Student Financial Aid) \*.
- I. ☐ Health Insurance Portability and Accountability Act/Health Information Technology for Economic and Clinical Health Act (HIPAA/HITECH) \*.
- J. ☐ Substance Abuse and Mental Health Services Administration SAMHSA (CFR 42 Part 2).
- K. ☐ The Fair and Accurate Credit Transaction Act (FACTA).
- L. ☐ The Fair Credit Reporting Act (FCRA).

#### Data Security

- M. ☐ Chemical Facility Anti-Terrorism Standards (CFATS).
- N. ☐ Defense Federal Acquisition Regulations (DFARS).
- O. ☐ Export Administration Regulations (EAR).
- P. ☐ Federal Acquisition Regulations (FARS).
- Q. ☐ Federal Information Security Modernization Act (FISMA).
- R. ☐ International Traffic in Arms Regulations (ITAR).
- S. ☐ Payment card data (PCI, PCI DSS).
- T. ☐ Toxic Substances Control Act (TSCA).
- U. ☐ Other: \_\_\_\_\_
- V. ☐ Other: \_\_\_\_\_